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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. Q. Box 1350, Alexandria, NA 22313-1450, sufficient postage, in an envelope addressed to: Commissioner for Patents, P. 97

Name: Sanders N. Hillis

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| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | IN THE UNIT | ÉD STATES F | ATENT AND | TRADEMARK | OFFICE |
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In re Appln. of: Scott R. Sargent

Appln. No.:

09/676,580

Filed:

September 29, 2000

For:

ENVIRONMENT SERVICE

ARCHITECTURES FOR NETCENTRIC

COMPUTING SYSTEMS

Attorney Docket No:

10022/40

Examiner:

Art Unit: 2183

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AUG 3 1 2004

Technology Center 2100 TRANSMITTAL

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmittal Letter (in dup.); Information Disclosure Statement (in dup.); Form PTO-1449; 6 cited references \boxtimes and check in the amount of \$180.00

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| Fee c | alculation: |
| | No additional fee is required. |
| | Small Entity. |
| | An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a). |
| | A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17(). |
| | An additional filing fee has been calculated as shown below: |
| | |

| | | | | | Sma | II Entity | <u> </u> | Not a S | mall Entity |
|------------|-------------------------------------|-----------|------------------------------------|------------------|---------|-----------|----------|----------|-------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| | esentation of Multiple D | ep. Claim | 1 | | +\$145= | | <u> </u> | + \$290= | |
| 1 11001 10 | | - F | | | Total | \$ | | Total | \$ |

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Please charge Deposit Account No. 23-1925 in the amount of \$ for this purpose.

. A copy of this Transmittal is enclosed

Payment by credit card in the amount of \$____ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 \boxtimes and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit

Account No. 23-1925.

Respectfully submitted,

Sanders N. Hillis (Reg. No. 45,712)

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Sanders N. Hillis

Name of Applicant, Assignee or Registered Representative

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Technology Center 2100

Signature

Date of Signature

Our Case No.: 10022/40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott R. Sargent

Serial No.: 09/676,580

Filing Date: September 29, 2000

For:

ENVIRONMENT SERVICE ARCHITECTURES FOR NETCENTRIC COMPUTING

SYSTEMS

Examiner:

Group Art Unit No.: 2183

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

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made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

OTHER ART

- Engestrom, J., "Software Agents, Towards Transparent Mediation, A Technology Report for Salama Interactive," Jan. 1999, pp. 1-19.
- 2. Morris, K.C. and Flater, D., "Standards-based software testing in a Net-Centric World" pp. 1-8 (cited by Examiner, no date given)
- Raje, R. and Chinnasamy, S., "Designing a distributed computing environment for global scale systems: challenges and issues," ACM SIGAPP Applied Computing Review, Vol. 7, Issue 1, Spring 1999, pages 25-30
- Copy of co-pending patent application, U.S. Patent Application Serial No. 09/386,917, filed August 31, 1999; 340 pages, Inventor Michael K.
 Bowman-Amuah
- Copy of co-pending patent application, U.S. Patent Application Serial No. 10/647,411, filed August 25, 2003; 22 pages, Inventor Michael K.
 Bowman-Amuah
- Copy of co-pending patent application, U.S. Patent Application Serial No. 09/387,654, filed August 31, 1999; 818 pages, Inventor Michael K.
 Bowman-Amuah

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The submission of this Information Disclosure Statement is for other art. A separate submission of U.S. patent references and published U.S. patent applications has been made electronically on the same date as this Information Disclosure Statement. Applicants are informed that the electronic Information Disclosure Statement need not include an electronic Form 1449. If the Examiner requires paper Forms 1449 to assist in making the documents submitted electronically of record, the Examiner is requested to so inform Applicants, who will be happy to submit paper Forms 1449 covering the electronic IDS submissions.

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 for all submissions, including the electronic submissions mentioned above is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Śanders N. Hillis

Registration No. 45,712 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

| 500M DTO 4440 | SERIAL NO. | CASE NO. |
|---|-----------------------------------|------------------------|
| FORM PTO-1449 | 09/676,580 | 10022/40 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE September 29, 2000 | GROUP ART UNIT 2183 |
| (use several sheets if necessary) | APPLICANT(S): Scott R. Sarger | nt |

| REFERENCE DES | IGNATION U.S. | PATENT DOCU | MENTS | | |
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| EXAMINER INITIAL | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
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| EXAMINER INITIAL | (Ir sym | OTHER ART – NON PATENT LITERATURE DOCUMENTS Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, posium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---|------------|---|
| , | A1 | Engestrom, J., "Software Agents, Towards Transparent Mediation, A Technology Report for Salama Interactive," Jan. 1999, pp. 1-19. |
| | A2 | Morris, K.C. and Flater, D., "Standards-based software testing in a Net-Centric World" pp. 1-8 (cited by Examiner, no date given) |
| | A3 | Raje, R. and Chinnasamy, S., "Designing a distributed computing environment for global scale systems: challenges and issues," ACM SIGAPP Applied Computing Review, Vol. 7, Issue 1, Spring 1999, pages 25-30 |
| | A4 | Copy of co-pending patent application, U.S. Patent Application Serial No. 09/386,917, filed August 31, 1999; 340 pages, Inventor Michael K. Bowman-Amuah |
| | A5 | Copy of co-pending patent application, U.S. Patent Application Serial No. 10/647,411, filed August 25, 2003; 22 pages, Inventor Michael K. Bowman-Amuah |
| i | A6 | Copy of co-pending patent application, U.S. Patent Application Serial No. 09/387,654, filed-August 31, 1999, 818 pages, Inventor Michael K. Bowman-Amuah |

| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.